



SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

250583US6YA DIV

SERIAL NO.

10/803,994

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinya NISHIMOTO, et al.

FILING DATE

March 19, 2004

GROUP

1763

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|---------|-----------------|-------|--------------|-------------------------------|
| RD | AA | 5,968,377 | 10-1999 | Yuasa et al. | | | |
| | AB | 2005/0150866 | 07-2005 | O'Donnell | 216 | 087 | |
| | AC | 2003/0113479 | 06-2003 | Fekuda et al. | 427 | 569 | |
| | AD | 4,357,387 | 11-1982 | George et al. | 442 | 71 | |
| | AE | 5,825,228 | 07-1999 | Penitz | 204 | 484 | |
| | AF | 5,892,278 | 07-1999 | Horita | 257 | 706 | |
| | AG | 6,073,449 | 08-2000 | Watanabe et al. | 62 | 3.2 | |
| | AH | 2005/0103275 | 02-2005 | Sasaki et al. | | | |
| | AI | 4,310,390 | 01-1982 | Bradley et al. | | | |
| | AJ | 5,955,182 | 08-1999 | Yasuda, et al. | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | AO | | | | | |
| | AP | | | | | |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|----|----|---|
| RD | AW | Production drawing for Deposition Shield, Upper believed to be sold in the U.S. on April 12, 2000. |
| | AX | Production drawing for Deposition Shield believed to be sold in the U.S. prior to September 30, 2001. |
| | AY | Production drawing for Upper Electrode believed to be sold in the U.S. prior to September 30, 2001. |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

/Rakesh Bhingra/

Date Considered

07/11/2006

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

I:\ATTYEDG\2312 - TOKYO ELECTRON\PC\PC 226A\250583US DIV1449 - 4-3-06.doc